

JOINT LEGISLATIVE ETHICS COMMITTEE

2010 Financial Disclosure Statement

To be filed in 2011

Please include complete addresses and telephone numbers for both your home and your employer.

PLEASE PRINT OR TYPE (Do not use pencil)

House OR Senate

District # 24

1. NAME OF PERSON FILING STATEMENT

PATTON Thomas F. LAST FIRST MIDDLE INITIAL

2. HOME ADDRESS

17157 RABBIT RUN DR. Strongsville 44136 STREET CITY ZIP CODE

Cuyahoga COUNTY

TELEPHONE NUMBER 440-238-7132

3. CURRENT EMPLOYER (Other than the General Assembly)

5885 GRANT AVE Blue Technologies Cleveland, Ohio 44105 STREET CITY STATE ZIP CODE

Cuyahoga COUNTY

TELEPHONE NUMBER 216-271-4800

4. PLEASE CHECK THE APPROPRIATE BOX, AND FILL IN THE REQUESTED INFORMATION.

a. MEMBER OF THE GENERAL ASSEMBLY DURING 2010 OR 2011

Elected OR Appointed to the General Assembly

If appointed to the 128th or 129th General Assembly, provide the date of your appointment: 1/1/09

Date of current or most recent term: From: 1/1/09 To: 12/31/12

b. EMPLOYEES OF THE GENERAL ASSEMBLY OR LEGISLATIVE AGENCY

Designated by Employing Agency as a required filer

Date of employment: / /

c. VOLUNTARY FILERS

Name of Agency of Voluntary Filer:

2011 MAR 30 AM 10:29 OFFICE OF LEGISLATIVE INSP. GENERAL

5. PLEASE DISCLOSE THE NAME OF ANY **ELECTED OFFICE**, OTHER THAN STATE REPRESENTATIVE OR STATE SENATOR, AND ANY STATE BOARD OR COMMISSION APPOINTMENT HELD IN 2010 OR 2011:

Ohio Township Commission Ohio Rail Development Comm
Ohio Athletic Commission _____

FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

YOU MUST COMPLETE THIS FORM IF:

- You are a member of the General Assembly during 2011;
- You were a member of the General Assembly during 2010;
- You are an employee of the General Assembly or any legislative agency who has been designated by the Joint Legislative Ethics Committee, or your agency, as a required filer.

FILING FEE: \$40 FILING FEE. Campaign funds MAY be used for this fee. **If you are, or were, an employee (non-elected) of the General Assembly, or any legislative agency, the \$40 fee will be invoiced to your agency at a later date.**

PENALTIES: Any person who fails to file a complete financial disclosure statement by the appropriate deadline may be assessed a late filing fee of ten dollars for each day the statement is late, up to a maximum of \$250.00, and may also be subject to criminal prosecution of a fourth degree misdemeanor. In addition, any person who files a false statement may be subject to criminal prosecution of a first degree misdemeanor.

FILING DEADLINES: The filing deadline is **Wednesday, April 15, 2011**, unless one of the following applies:

AN INCUMBENT MEMBER OF THE GENERAL ASSEMBLY whose name will appear on any 2011 Primary Election Ballot for local office. The filing deadline is **thirty days prior to the Primary Election or April 15, 2011 whichever is earliest.**

APPOINTEES TO THE GENERAL ASSEMBLY: Any person appointed to fill a vacancy for an unexpired term in the General Assembly must file within **15 days after he or she qualifies for office.**

EMPLOYEES: An employee of the General Assembly, or any legislative agency, must file by **April 15, 2011**, unless you were employed or promoted after that date, then you must file within **90 days after employment or promotion.**

ADDITIONAL INFORMATION: You may attach additional pages as necessary; however, please reference the appropriate section for which you are submitting additional information.

FOR MORE INFORMATION, PLEASE CONTACT THE JOINT LEGISLATIVE ETHICS COMMITTEE, OFFICE OF THE LEGISLATIVE INSPECTOR GENERAL AT (614) 728-5100.

**CANDIDATES: THIS IS A PERSONAL FINANCIAL DISCLOSURE STATEMENT
THIS IS NOT A CAMPAIGN FINANCE RELATED REPORT.**

1. INCOME

Complete **EITHER** Section A or Section B, whichever is the appropriate section.

A. IF YOU ARE A MEMBER OF THE GENERAL ASSEMBLY IN 2011 OR WERE A MEMBER OF THE GENERAL ASSEMBLY IN 2010:

You are required to list **EVERY** source of income and must identify the amount of each source of income received in accordance with the following ranges using the appropriate letter: **A.** \$0 - \$999; **B.** \$1,000 - \$9,999; **C.** \$10,000 - \$24,999; **D.** \$25,000 - \$49,999; **E.** \$50,000 - \$99,999; **F.** \$100,000 or more. Also, list each source of income received by any other person for your use or benefit. "Income" includes gross income for federal tax purposes and interest and dividends on all governmental securities. You are not required to list the sources of income of your spouse, unless the income was received specifically for your use or benefit. **MILEAGE REIMBURSEMENT IS REPORTED IN "SECTION 8. TRAVEL". DO NOT INCLUDE MILEAGE REIMBURSEMENT IN "SECTION 1. INCOME".**

You are not required to list the individual items of income from your business or profession, only the name of any business, governmental agency, or employer from which you received income **EXCEPT** (1) you must list the source and dollar amount of income received, or shared with a partner in your business or profession, that is attributable to services or goods provided to a client or customer who is a "legislative agent" **AND/OR** (2) you must list the source and dollar amount of income received from a person or entity that is doing or seeking to do business with the General Assembly. Attorneys, physicians, and psychologists should list each practice separately but need not disclose the names of their clients or patients, **unless** those clients or patients are legislative agents. If the client or patient is a legislative agent, you must disclose each client or patient, unless excepted under Revised Code 102.02(A)(2)(c).

For each source of income listed, briefly **describe the services** for which the income was received.

EXAMPLE:

SOURCE OF INCOME	SERVICE PERFORMED	AMOUNT (A, B, C, D, E, OR F)
State of Ohio	Senator/Representative	E
Smith and Jones Law Firm	Lawyer	C
Friendly National Bank	Interest on Savings Account	A
Christopher Columbus - Legislative Agent	Boat Insurance Policy	\$143.00
123 Main Street	Rental Income	B

IF NONE, CHECK HERE

SOURCE OF INCOME	SERVICE PERFORMED	AMOUNT (A, B, C, D, E, OR F)
A. State of Ohio	Senator	E
B. Blue Technologies	Marketing Consultant	E
C. Thomas + Ticket Sellers Union	Union President	B
D. Amazing Tickets	Consultant	C
E.		
F.		

B. IF YOU ARE AN EMPLOYEE OF THE GENERAL ASSEMBLY OR ANY LEGISLATIVE AGENCY:

You are required to list each source of gross income. **You are not required to disclose any dollar amounts except as indicated below.** Also, list each source of income received by any other person for your use or benefit. Remember to list your employment as a source of income. "Income" includes gross income for federal tax purposes and interest and dividends on all governmental securities. You are not required to list the sources of income of your spouse, unless the income was received for your use or benefit.

You are not required to list the individual items of income from your business or profession, only the name of any business, governmental agency, or employer from which you received income EXCEPT (1) you must list the source and dollar amount of income received, or shared with a partner in your business or profession, that is attributable to services or goods provided to a client or customer who is a "legislative agent" AND/OR (2) you must list the source and dollar amount of income received from a person or entity that is doing or seeking to do business with the General Assembly. Attorneys, doctors, and psychologists should list each practice separately but need not disclose the names of their clients or patients, **unless** those clients or patients are legislative agents. If the client or patient is a legislative agent, you must disclose each client or patient, unless excepted under Revised Code 102.02(A)(2)(c).

For each source of income listed, briefly **describe the services** for which the income was received.

IF NONE, CHECK HERE

SOURCE OF INCOME	SERVICE PERFORMED	AMOUNT (If required)
A.		
B.		
C.		
D.		

2. IMMEDIATE FAMILY MEMBERS

List the names of members of your immediate family. "Immediate family" is defined as your spouse residing in your household and any dependent child.

IF NONE, CHECK HERE

A.	KATHLEEN Mary PATTON 12-8-90	C. Daughter
B.	Brigid C. Patton 8-1-86	D. Daughter

3. BUSINESS NAMES

List all names under which you or members of your immediate family do business.

IF NONE, CHECK HERE

A.	C.
B.	D.

4. INVESTMENTS

List the names of each **corporation** incorporated or authorized to do business in Ohio and each **trust, business trust, partnership, or association** authorized to do business or transacting business in Ohio in which you **hold an office** or have a **fiduciary relationship** (regardless of any monetary investment), **including holding office in a not-for-profit corporation**. Also, list the names of each **corporation** incorporated or authorized to do business in Ohio and each **trust, business trust, partnership, or association** authorized to do business or transacting business in Ohio in which you or any other person for your use or benefit had an investment of more than \$1,000 during 2010 (at fair market value as of December 31, 2010, or the date of disposition, whichever is earlier). **Include all investments of more than \$1,000** even though they constitute a source of income. You do not have to disclose accounts with banks, building and loan associations, savings and loan associations, and credit unions if the amount is a deposit or withdrawable share account. For each listing, give a brief description of the investment, office, or relationship.

EXAMPLE:

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	NAME OF INVESTMENT, OFFICE, OR FIDUCIARY RELATIONSHIP
Acme Corporation	Common Stock
Smith and Jones	Partner
Public Employees Retirement System of Ohio (PERS)	Retirement Fund
Public Employee Deferred Compensation	Mutual Fund
Smith Cleaning Company	Board of Directors
Brokerage Firm	Money Market Account
ABC Fund	Mutual Fund
XYZ Inc.	President
Neighborhood Civic Association	Volunteer Trustee

IF NONE, CHECK HERE

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	NAME OF INVESTMENT, OFFICE, OR FIDUCIARY RELATIONSHIP
A. PERS - State Retirement Acct	
B. Ford Motor Company	
C. Phandy Merrill Lynch - 401K	
D. See Attached	

5. REAL ESTATE

List all **leasehold or ownership interests in real property located in Ohio** to which you hold legal title or in which you have any beneficial interest. You do not have to list your personal residence or any real property used primarily for personal recreation. List by address or other description.

IF NONE, CHECK HERE

STREET ADDRESS	CITY	COUNTY	ZIP CODE
A.			
B.			
C.			

6. CREDITORS

List the names of all of your **creditors** residing or transacting business in Ohio to whom **you owe, or have owed**, at any time during the calendar year 2010, more than \$1,000 in your own name or in the name of any other person. You must disclose automobile loans, credit card accounts, and all other similar accounts if the balance has exceeded \$1,000 at any time during the calendar year 2010, even if no balance is currently outstanding. You do not have to disclose the debts on your personal residence or real property used primarily for personal recreation, or debts resulting from the ordinary conduct of a business or profession.

IF NONE, CHECK HERE

A. <i>Hawington Bank - Home Equity</i>	C.
B.	D.

7. DEBTORS

List the names of all of your **debtors** residing or transacting business in Ohio who **owe, or have owed, you** at any time during the calendar year 2010, more than \$1,000 in your own name or in the name of any other person for your use or benefit. If you are an attorney, a doctor, or a psychologist, you do not have to disclose the names of your clients or patients. You do not have to disclose the names of persons indebted to you if the debt results from the ordinary conduct of your business or profession. Banks, building and loan associations, savings and loan associations, and credit unions need not be listed if the only moneys owed to you by them are moneys which you deposited with such institutions or which are in a withdrawable share account.

IF NONE, CHECK HERE

A.	C.
B.	D.

8. TRAVEL

List the **source** and **amount** of each payment of expenses incurred for **travel**. Travel expenses include payments or reimbursements received by you in your own name, or by any other person for your use or benefit, for travel **in connection with your official duties**. You must disclose every payment or reimbursement of expenses for travel both inside and outside of Ohio. **You must disclose travel payments or reimbursements, INCLUDING MILEAGE REIMBURSEMENTS, made to you, or on your behalf, by the General Assembly.**

You do not have to disclose expenses incurred at a meeting or convention of a national or state organization to which **any state agency**, including, but not limited to, any legislative agency or state institution of higher education as defined in section 3345.011 of the Revised Code, pays membership dues. For example: the General Assembly pays dues to the following organizations: (1) National Council of State Legislatures (NCSL); (2) Council of State Governments (CSG); (3) American Legislative Exchange Council (ALEC); (4) National Conference of Insurance Legislators (NCOIL); (5) State Legislator Leaders Foundation (National Speakers Conference); and (6) Senate Presidents Forum.

IF NONE, CHECK HERE

	SOURCE	AMOUNT
A.	State of Ohio - Ohio Senate	\$4,326.30
B.		
C.		
D.		

9. GIFTS

List the **source** of each **gift or gifts** worth more than \$75, aggregated for the calendar year 2010, received by you in your own name, or by any other person for your use or benefit. **If you are a member of the General Assembly, also list the source of each gift or gifts over \$25, aggregated for the calendar year 2010, received from a legislative agent.** You do not have to disclose the source of gifts received under a will or by inheritance. Also, you do not have to disclose the source of gifts received from your spouse, parents, grandparents, children, grandchildren, siblings, nephews, nieces, uncles, aunts, cousins, step-relations, brothers-in-law, sisters-in-law, sons-in-law, daughters-in-law, fathers-in-law, mothers-in-law, or any person to whom you stand in *loco parentis*, or received by way of distribution from any *inter vivos* or testamentary trust established by a spouse or ancestor. **If you are a member or employee of the General Assembly, you are prohibited from receiving a gift or gifts from a legislative agent where the value of the gift or gifts, aggregated per calendar year, exceeds \$75.**

IF NONE, CHECK HERE

A.	Ohio Gov Telecom Assoc. (see attached)	D.
B.		

10. MEALS, FOOD AND BEVERAGES

List the **source** of each payment of expenses **for meals and other food and beverages** received **in connection with your official duties** and that exceed \$100 aggregated for the calendar year 2010. Expenses include payments or reimbursements to you. You must include the General Assembly if it was the source of expenses or reimbursements for meals, food, or beverages totaling over \$100 for the calendar year 2010.

You are not required to disclose the source of meals and other food and beverages provided at a meeting at which you participated in a panel, seminar, or speaking engagement or at a meeting or convention of a national or state organization to which **any state agency**, including, but not limited to, any legislative agency or state institution of higher education as defined in section 3345.011 of the Revised Code, pays membership dues. Nor must you disclose any meals or beverages, which have been reported in Section 8 above as travel expenses paid by the General Assembly. **If you are a member or employee of the General Assembly, you are prohibited from receiving from a legislative agent more than \$75 aggregated per calendar year as payment for meals and other food and beverages.**

IF NONE, CHECK HERE

A.	C.
B.	D.

11. NON-DISPUTED INFORMATION

The lobbying community must file final lobbying expenditure reports with the Joint Legislative Ethics Committee by January 31, 2011. **Not later than February 15, 2011, JLEC will provide each legislative financial disclosure statement filer with a personalized report of non-disputed information for 2010.** If you do not dispute this report, please initial and attach to your statement. If you dispute the information contained therein, or did not receive a report; please contact JLEC at 614-728-5100. You can monitor reported lobbying expenditures at any time by visiting the Ohio Lobbying Activity Center www.ohiolobbying.com.

PLEASE SEE ATTACHMENT "NON-DISPUTED INFORMATION REPORT":

I HAVE NO NON-DISPUTED INFORMATION TO REPORT:

I PREFER TO LIST MY NON-DISPUTED INFORMATION BELOW:

A.	B.
C.	D.

12. LICENSES

Any member of the General Assembly who engages in the conduct or practice of a particular business, profession, trade, or occupation that is subject to licensing or regulation by any branch, department, division, institution, instrumentality, board, commission, or bureau of the state, is required to file a notice that he or she is the holder of a particular license, or is engaged in such activity, as part of the financial disclosure statement. Therefore, if you are a member of the General Assembly, list all licenses held or a description of any regulated activity.

IF NONE, CHECK HERE *gmz*

License Held	
Regulated Activity	

BEFORE SIGNING THIS STATEMENT, CHECK EVERY QUESTION TO MAKE CERTAIN YOU HAVE DISCLOSED THE NECESSARY INFORMATION OR, IF YOU HAVE NOTHING TO DISCLOSE IN A GIVEN QUESTION, YOU HAVE CHECKED THE BOX MARKED "NONE." IF THE ANSWER TO ANY QUESTION IS OMITTED, THE STATEMENT IS INCOMPLETE UNDER THE LAW AND WILL BE RETURNED TO YOU. PERSONS WHO FAIL TO FILE A **COMPLETE** STATEMENT BY THE APPROPRIATE DEADLINE MAY BE ASSESSED A LATE FILING FEE. A KNOWING FAILURE TO FILE IS A MISDEMEANOR OFFENSE.

By signing below, I swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by me, and constitute a complete, truthful, and correct disclosure of all required information.

By signing below, I also acknowledge awareness and understanding of Sections 102.02(D) and 2921.13(A)(7) of the Ohio Revised Code which prohibit me from knowingly filing a false statement and which are criminal misdemeanors of the first degree, punishable by a fine of not more than \$1,000 or imprisonment of not more than six months, or both. (See Revised Code Sections 102.99(B), 2921.13(F)(1), and 2929.21.)

By signing below, I also acknowledge that either I have paid the **\$40 FILING FEE** (check or money order ONLY made payable to JLEC) which **must accompany** this disclosure form, or that I am, or was an employee (non-elected) of the General Assembly, or any legislative agency and that my agency will be invoiced at a later date.

Your Signature is Required: _____

(Please sign in blue ink)

Date

3-23-11

NOTE: NO person is required to file more than one financial disclosure statement for any given calendar year with the appropriate ethics agency. If you are a member of the Ohio General Assembly you will file the JLEC Statement with the Joint Legislative Ethics Committee regardless of your status as a former local or statewide officeholder, candidate for local or statewide office or service on a state board or commission. **If you are a member of the General Assembly and receive a Financial Disclosure Statement from the Ohio Ethics Commission, DISREGARD the statement provided by the Ohio Ethics Commission.**

RETAIN A COPY OF THIS STATEMENT FOR YOUR RECORDS

This statement must be filed with:

JOINT LEGISLATIVE ETHICS COMMITTEE
OFFICE OF THE LEGISLATIVE INSPECTOR GENERAL
50 West Broad Street, Suite 1308
Columbus, Ohio 43215-5908
(614) 728-5100

FOR OFFICE USE ONLY

Reviewed by:

Jennifer M. LaWood

Date: 3/30/2011

Complete

Incomplete

Date form returned to filer: ___/___/___

Date completed form returned to OLIG: ___/___/___



JOINT LEGISLATIVE ETHICS COMMITTEE
129th OHIO GENERAL ASSEMBLY

To: Joint Legislative Ethics Committee
From: Senator Patton
Date: February 22, 2011
Re: §11 Non-disputed Information for the 2010 Financial Disclosure Statement to be filed in 2011

By signing below and attaching this document to my Financial Disclosure Statement, I do not dispute the following report of non-disputed information for calendar year 2010.

Legislative Expenditure Search

Reporting Period: Starting: Jan-Apr10
Ending: Sep-Dec10

Agent: First Name:
Last Name:

Employer Name:
Bill:

Recipient: Patton

Industry:

Type: All

Explanation

1 matching expenditures found.

[MS Excel Format (*.xls)]

Rep. Period	Employer	Agent F Name	Agent L Name	Type	Recipient	Bill	Description	Amount	Date	
Jan-Apr10	Ohio Telecom Association	Cheryl Q	Burchard	A	Tom Patton		Fruit Basket	\$49.90	3/30/2010	View
								TOTAL:	\$49.90	

Signature:
ON BEHALF OF SEN. PATTON

Date: 3/30/11

THOMAS F. PATTON
INVESTMENT SUMMARY

IRA

<u>Fund Name</u>	<u>Ticker</u>	<u>Approximate # of Shares</u>
Fifth Third Funds International Equity Fund A Shares	FSEIX	0.5
Access Intl Inc Com	AXSI	25
Fidelity Advisor Growth Opportunities	FAGAX	270
Washington Mutual Investors Fund	AWSHX	770
Washington Mutual Investors Fund	AWSHX	80

