



THIS STATEMENT IS TO BE  
FILED IN 2013 FOR THE  
CALENDAR YEAR 2012

**2012 Financial Disclosure Statement**  
To be filed in 2013

Please provide a complete address and telephone number  
PLEASE PRINT OR TYPE (Do not use pencil)

House

Senate

Agency

1. NAME OF PERSON FILING STATEMENT

PATTON  
LAST

THOMAS  
FIRST

F.  
MIDDLE INITIAL

2. MAILING ADDRESS  
(May use Home, Business or Govt)

17157 RABBIT RUN DR. STRONGSVILLE, 44136  
STREET CITY ZIP CODE

CUYAHOGA  
COUNTY

440-212-2426  
TELEPHONE NUMBER

3. EMAIL ADDRESS  
(OPTIONAL)

TPATTON@BTOHIO.COM

4. CURRENT EMPLOYER(S) (Other than the General Assembly):

1 Blue Technologies

2 Transway + Ticket Sellers Union - Local 756

3 Amazing Tickets

4 \_\_\_\_\_

5 \_\_\_\_\_

OFFICE OF  
LEGISLATIVE  
INSPECTION  
GENERAL  
2013 APR 15 PM 2:45

## **Financial Disclosure Statement Instructions**

### **YOU MUST COMPLETE THIS FORM IF:**

- \* You are a member of the General Assembly during 2013;
- \* You were a member of the General Assembly during 2012;
- \* You are an employee of the General Assembly or any legislative agency who has been designated by the Joint Legislative Ethics Committee, or your agency, as a required filer.

**FILING FEE:** \$40 FILING FEE. Campaign funds MAY be used for this fee. If you are, or were, an employee (non-elected) of the General Assembly or any legislative agency, the \$40 fee will be invoiced to your agency at a later date.

**PENALTIES:** Any person who knowingly files a false statement may be subject to criminal prosecution of a first degree misdemeanor. Any person who fails to file a complete financial disclosure statement by the appropriate deadline may be assessed a late filing fee of ten dollars for each day the statement is late, up to a maximum of \$250.00, and may also be subject to criminal prosecution of a fourth degree misdemeanor.

**FILING DEADLINES:** The filing deadline is Monday April 15, 2013, unless one of the following applies:

**AN INCUMBENT MEMBER OF THE GENERAL ASSEMBLY** whose name will appear on any 2013 Primary Election ballot for local office. The filing deadline is thirty days prior to the Primary Election or April 15, 2013 whichever is earliest.

**APPOINTEES TO THE GENERAL ASSEMBLY:** Any person appointed to fill a vacancy for an un-expired term in the General Assembly must file within 15 days after he or she qualifies for office.

**EMPLOYEES:** An employee of the General Assembly, or any legislative agency, must file by April 15, 2013, unless you were employed or promoted after that date, then you must file within 90 days after employment or promotion.

**ADDITIONAL INFORMATION:** You may attach additional pages as necessary; however, please reference the appropriate section for which you are submitting additional information.

**FOR MORE INFORMATION, PLEASE CONTACT THE JOINT LEGISLATIVE ETHICS COMMITTEE,  
OFFICE OF THE LEGISLATIVE INSPECTOR GENERAL AT (614) 728-5100.**

**THIS IS A PERSONAL FINANCIAL DISCLOSURE STATEMENT.  
THIS IS NOT A CAMPAIGN FINANCE-RELATED REPORT.**

# 1. INCOME

Complete EITHER Section A or Section B, whichever is the appropriate section.

**A. IF YOU ARE A MEMBER OF THE GENERAL ASSEMBLY IN 2013 OR WERE A MEMBER OF THE GENERAL ASSEMBLY IN 2012:** You are required to list EVERY source of income and must identify the amount of each source of income received in accordance with the following ranges using the appropriate letter: A. \$0 - \$999; B. \$1,000 - \$9,999; C. \$10,000 - \$24,999; D. \$25,000 - \$49,999; E. \$50,000 - \$99,999; F. \$100,000 or more. "Income" includes sources of gross income for federal tax purposes and interest and dividends on all governmental securities. Also, list each source of income received by any other person for your use or benefit. You are not required to list the sources of income of your spouse, unless the income was received specifically for your use or benefit. MILEAGE REIMBURSEMENT IS REPORTED IN "SECTION 8. TRAVEL". DO NOT INCLUDE MILEAGE REIMBURSEMENT IN "SECTION 1. INCOME".

You are not required to list the individual items of income from your business or profession, only the name of any business, governmental agency, or employer from which you received income EXCEPT (1) you must list the source and dollar amount of income received, or shared with a partner in your business or profession, that is attributable to services or goods provided to a client or customer who is a "legislative agent" AND/OR (2) you must list the source and dollar amount of income received from a person or entity that is doing or seeking to do business with the General Assembly.

Attorneys, physicians, or other professionals subject to confidentiality requirements as described in Revised Code Section 102.02(A)(2)(c) should list each practice separately but need not disclose the names of their clients, patients or other recipients of professional services unless those clients, patients or other recipients of professional services are legislative agents. If the client, patient or other recipient of professional services is a legislative agent, you must disclose each client, patient or other recipient of professional services unless excepted under Revised Code Section 102.02(A)(2)(c).

For each source of income listed, briefly describe the services for which the income was received.

EXAMPLE:

SOURCE OF INCOME	SERVICE PERFORMED	AMOUNT (A, B, C, D, E, OR F)
State of Ohio	Senator/Representative	E
Smith and Jones Law Firm	Lawyer	C
Friendly National Bank	Interest on Savings Account	A
Leo Lobbyist - Legislative Agent	Boat Insurance Policy	\$143.00
123 Main Street	Rental Income	B

IF NONE, CHECK HERE

SOURCE OF INCOME	SERVICE PERFORMED	AMOUNT (A, B, C, D, E, OR F)
1 STATE OF OHIO	SENATOR	E
2 BLUE TECHNOLOGIES	MARKETING CONSULTANT	E
3 AMAZING TICKETS	CONSULTANT	C
4 TICKET SELLERS UNION LOCAL 756	PRESIDENT/BUS. MGR	C
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**B. IF YOU ARE AN EMPLOYEE OF THE GENERAL ASSEMBLY OR ANY LEGISLATIVE AGENCY:**

You are required to list each source of gross income. You are not required to disclose any dollar amounts except as indicated below. Also, list each source of income received by any other person for your use or benefit. Remember to list your employment as a source of income. "Income" includes gross income for federal tax purposes and interest and dividends on all governmental securities. You are not required to list the sources of income of your spouse, unless the income was received for your use or benefit.

You are not required to list the individual items of income from your business or profession, only the name of any business, governmental agency, or employer from which you received income EXCEPT (1) you must list the source and dollar amount of income received, or shared with a partner in your business or profession, that is attributable to services or goods provided to a client or customer who is a "legislative agent" AND/OR (2) you must list the source and dollar amount of income received from a person or entity that is doing or seeking to do business with the General Assembly.

Attorneys, physicians, and other professionals subject to confidentiality requirements as described in Revised Code Section 102.02(A)(2)(c) should list each practice separately but need not disclose the names of their clients, patients or other recipients of professional services, unless those clients, patients or other recipients of professional services are legislative agents. If the client, patient or other recipient of professional services is a legislative agent, you must disclose each client, patient or other recipient of professional services, unless excepted under Revised Code Section 102.02(A)(2)(c).

For each source of income listed, briefly describe the services for which the income was received.

IF NONE, CHECK HERE

SOURCE OF INCOME	SERVICE PERFORMED	AMOUNT (if required)
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## 2. IMMEDIATE FAMILY MEMBERS

List the names of members of your immediate family. "Immediate family" is defined as your spouse residing in your household and any dependent child.

IF NONE, CHECK HERE

1	KATHLEEN PATTON	12/8/90 - DAUGHTER	
3			4
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## 3. BUSINESS NAMES

List all names under which you or members of your immediate family do business.

IF NONE, CHECK HERE

1		2	
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### 4A. Fiduciary Relationships

List the names of each corporation incorporated or authorized to do business in Ohio and each trust, business trust, partnership, or association authorized to do business or transacting business in Ohio in which you hold an office or have a fiduciary relationship (regardless of any monetary investment), including holding office in a not-for-profit corporation. For each listing, give a brief description of the office, or relationship.

EXAMPLE:

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	NAME OF OFFICE OR FIDUCIARY RELATIONSHIP
Smith and Jones	Partner
XYZ Inc.	President
Neighborhood Civic Association	Volunteer Trustee

IF NONE, CHECK HERE

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	NAME OF OFFICE OR FIDUCIARY RELATIONSHIP
1 Ticket Sellers Union Local 756	President - Business Agent
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## 4B. Investments

List the names of each corporation incorporated or authorized to do business in Ohio and each trust, business trust, partnership, or association authorized to do business or transacting business in Ohio in which you or any other person for your use or benefit had an investment of more than \$1,000 during 2012 (at fair market value as of December 31, 2012, or the date of disposition, whichever is earlier). Include all investments of more than \$1,000 even though they constitute a source of income.

You do not have to disclose accounts with banks, building and loan associations, savings and loan associations, and credit unions if the amount is a deposit or withdrawable share account. You are not required to list investments held solely by your spouse unless the investment is strictly for your use or benefit. For each listing, give a brief description of the investment.

### EXAMPLE:

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	TYPE OF INVESTMENT
Acme Corporation	Common Stock
Public Employee Retirement System of Ohio (PERS)	Retirement Fund
Public Employee Deferred Compensation	Mutual Fund
Brokerage Firm	Money Market Account
ABC Fund	Mutual Fund

IF NONE, CHECK HERE

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	TYPE OF INVESTMENT
1 ACCESS INTERNATIONAL INC.	IRA
2 FIDELITY ADVISORS	IRA
3 WASHINGTON MUTUAL / INVESTMENTS FUND	MUTUAL FUND
4 FIFTH THIRD FUNDS	MUTUAL FUND / IRA
5 PERS	STATE RETIREMENT
6 MERRILL LYNCH	401 K
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**5. REAL ESTATE**

List all leasehold or ownership interests in real property located in Ohio to which you hold legal title or in which you have any beneficial interest. You do not have to list your personal residence or any real property used primarily for personal recreation. List by address, parcel number, or other legal description.

IF NONE, CHECK HERE

	STREET ADDRESS	CITY	COUNTY	ZIP CODE
1				
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**6. CREDITORS**

List the names of all your creditors residing or transacting business in Ohio to whom you owe, or have owed, at any time during the calendar year 2012, more than \$1,000 in your own name or in the name of any other person. You must disclose automobile loans, school loans, credit card accounts, and all other similar accounts if the balance has exceeded \$1,000 at any time during the calendar year 2012, even if no balance is currently outstanding. You do not have to disclose the debts on your personal residence or real property used primarily for personal recreation, or short-term debts resulting from the ordinary conduct of a business or profession.

IF NONE, CHECK HERE

1 HUNTINGTON BANK - HOME EQUITY	2
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## 7. DEBTORS

List the names of all your debtors residing or transacting business in Ohio who owe, or have owed, at any time during the calendar year 2012, you more than \$1,000 in your own name or in the name of any other person for your use or benefit. If you are an attorney, physician, or any other professional subject to a confidentiality requirement as described in Revised Code Section 102.02(A)(2)(c), you do not have to disclose the names of your clients, patients or other recipients of professional services. You do not have to disclose the names of persons indebted to you if they are short-term debts resulting from the ordinary conduct of your business or profession. Banks, building and loan associations, savings and loan associations, and credit unions need not be listed if the only moneys owed to you by them are moneys which you deposited with such institutions or which are in a withdrawable share account.

IF NONE, CHECK HERE

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## 8. TRAVEL

List the source and amount of each payment of expenses incurred for travel. Travel expenses include payments or reimbursements received by you in your own name, or by any other person for your use or benefit, for travel in connection with your official duties. You must disclose every payment or reimbursement of expenses for travel both inside and outside of Ohio. You must disclose travel payments or reimbursements, INCLUDING MILEAGE REIMBURSEMENTS, made to you, or on your behalf, by the General Assembly.

You do not have to disclose expenses incurred at a meeting or convention of a national or state organization to which any state agency, including, but not limited to, any legislative agency or state institution of higher education as defined in section 3345.011 of the Revised Code, pays membership dues. Questions regarding membership status should be directed to the organization.

IF NONE, CHECK HERE

	SOURCE	AMOUNT
1		
2	Ohio Senate Mileage Reimbursement	\$3,984.75
3	RSCC	\$122.90
4	RSCC	\$122.06
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## 9. GIFTS

List the source of each gift or gifts worth more than \$75, aggregated for the calendar year 2012, received by you in your own name, or by any other person for your use or benefit. If you are a member of the General Assembly, also list the source of each gift or gifts over \$25, aggregated for the calendar year 2012, received from a legislative agent. If you are a member or employee of the General Assembly, you are prohibited from receiving a gift or gifts from a legislative agent where the value of the gift or gifts aggregated per calendar year, exceeds \$75.

You do not have to disclose the source of gifts received under a will or by inheritance. Also, you do not have to disclose the source of gifts received from your spouse, parents, grandparents, children, grandchildren, siblings, nephews, nieces, uncles, aunts, cousins, step-relations, brothers-in-law, sisters-in-law, sons-in-law, daughters-in-law, fathers-in-law, mothers-in-law, or any person to whom you stand in loco parentis, or received by way of distribution from any inter vivos or testamentary trust established by a spouse or ancestor.

IF NONE, CHECK HERE

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## 10. MEALS, FOOD AND BEVERAGES

List the source of each payment of expenses for meals and other food and beverages received in connection with your official duties that exceed \$100 aggregated for the calendar year 2012. Expenses include payments or reimbursements to you. You must include the General Assembly if it was the source of expenses or reimbursements for meals, food, or beverages totaling over \$100 for the calendar year 2012.

You are not required to disclose the source of meals and other food and beverages provided at a meeting at which you participated in a panel, seminar, or speaking engagement; or at a meeting or convention of a national or state organization to which any state agency, including, but not limited to, any legislative agency or state institution of higher education as defined in section 3345.011 of the Revised Code, pays membership dues. Nor must you disclose any meals or beverages, which have been reported in Section 8 above as travel expenses paid by the General Assembly.

IF NONE, CHECK HERE

1 RSCC	2
3	4
5	6
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## 11. NON-DISPUTED INFORMATION

The lobbying community must file final lobbying expenditure reports with the Joint Legislative Ethics Committee by January 31, 2013. Not later than March 15, 2013, JLEC will provide each legislative financial disclosure statement filer with a personalized report of non-disputed information for 2012. If you do not dispute this report, please attach it to your statement. If you dispute the information contained therein, or did not receive a report; please contact JLEC at 614-728-5100. You can monitor reported lobbying expenditures at any time by visiting the Ohio Lobbying Activity Center at [www.ohiolobbying.com](http://www.ohiolobbying.com).

PLEASE SEE ATTACHMENT "NON-DISPUTED INFORMATION REPORT": ~~XXXX~~

I HAVE NO NON-DISPUTED INFORMATION TO REPORT:

I PREFER TO LIST MY NON-DISPUTED INFORMATION BELOW:

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## 12. LICENSES

Any member of the General Assembly who engages in the conduct or practice of a particular business, profession, trade, or occupation that is subject to licensing or regulation by any branch, department, division, institution, instrumentality, board, commission, or bureau of the state, is required to file a notice that he or she is the holder of a particular license, or is engaged in such activity, as part of the financial disclosure statement. Therefore, if you are a member of the General Assembly, list all licenses held or a description of any regulated activity.

IF NONE, CHECK HERE

License Held	
Regulated Activity	

**BEFORE SIGNING THIS STATEMENT, PLEASE REVIEW EVERY QUESTION TO MAKE CERTAIN YOU HAVE DISCLOSED THE NECESSARY INFORMATION OR, IF YOU HAVE NOTHING TO DISCLOSE IN A GIVEN QUESTION, YOU HAVE CHECKED THE BOX MARKED "NONE."**

**IF THE ANSWER TO ANY QUESTION IS OMITTED, THE STATEMENT IS INCOMPLETE UNDER THE LAW AND WILL BE RETURNED TO YOU.**

**PERSONS WHO FAIL TO FILE A COMPLETE STATEMENT BY THE APPROPRIATE DEADLINE MAY BE ASSESSED A LATE FILING FEE. A KNOWING FAILURE TO FILE IS A MISDEMEANOR OFFENSE.**

By signing below, I swear or affirm that this statement and any additional attachments were prepared by, or carefully reviewed by, me and constitute a complete, truthful, and correct disclosure of all required information.

By signing below, I acknowledge awareness and understanding of Sections 102.02(D) and 2921.13(A)(7) of the Ohio Revised Code which prohibit me from knowingly filing a false statement and which are criminal misdemeanors of the first degree, punishable by a fine of not more than \$1,000 or imprisonment of not more than six months, or both. (See Revised Code Sections 102.99(B), 2921.13(F)(1), and 2929.21.)

By signing below, I acknowledge that either I have paid the **\$40 FILING FEE** (check or money order ONLY made payable to JLEC) which must accompany this disclosure form, or that I am, or was, an employee (non-elected) of the General Assembly, or any legislative agency and that my agency will be invoiced at a later date.

Your Signature is Required Thomas J. Fallick Date 4-9-13

**NOTE:** No person is required to file more than one financial disclosure statement for any given calendar year with the appropriate ethics agency. If you are a member of the Ohio General Assembly you will file the JLEC Statement with the Joint Legislative Ethics Committee regardless of your status as a former local or statewide officeholder, candidate for local or statewide office or service on a state board or commission. If you are a member of the General Assembly and receive a Financial Disclosure Statement from the Ohio Ethics Commission, **DISREGARD** the statement provided by the Ohio Ethics Commission.

**RETAIN A COPY OF THIS STATEMENT FOR YOUR RECORDS**

This statement must be filed with:

JOINT LEGISLATIVE ETHICS COMMITTEE  
OFFICE OF THE LEGISLATIVE INSPECTOR GENERAL  
50 West Broad Street, Suite 1308  
Columbus, Ohio 43215-5908  
(614) 728-5100

FOR OFFICIAL USE ONLY:

Reviewed By: Jennifer M. Tolwood Date 4/10/13  
 Filer has answered every question  Filer has not answer these questions: \_\_\_\_\_  
Date form returned to filer:   /  /   | Date completed form received at OLIG   /  /   |



**Ohio Senate**  
Statehouse  
1 Capitol Square  
Columbus, Ohio 43215  
(614) 466-4900  
Fax (614) 466-6030

**Vincent L. Keeran**  
Clerk of the Senate

February 4, 2013

Senator Patton  
17157 Rabbit Run Drive  
Strongsville, OH 44136

Dear Senator Patton,

During calendar year 2012, you received the following quarterly mileage payments as reimbursement for your round-trip mileage to and from the capitol.

<b>Date</b>	<b>Amount</b>
1/12/2012	\$1,138.50
4/4/2012	\$1,366.20
7/6/2012	\$796.95
10/3/2012	\$683.10
<b>Total</b>	<b>\$3,984.75</b>

If you have any questions, please contact me at (614) 466-4900 or Erin Hammon at (614) 466-4464.

Sincerely,

Vincent L. Keeran  
Clerk of the Senate